

1 **Combining bioacoustics and occupancy modelling for improved monitoring of**
2 **rare breeding bird populations**

3 **AUTHOR DETAILS**

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13 **DECLARATION OF AUTHORSHIP**

14 CA conceived the ideas, designed methodology; collected and analysed the data. CA led the writing of the
15 manuscript, with MG contributing to occupancy modelling methods and development of the text. Both
16 authors contributed critically to the drafts and gave final approval for publication.

17

18 HIGHLIGHTS

- 19 • Bioacoustic recording is used to generate occupancy and detectability estimates
- 20 • Rare heathland breeding birds varied in their occupancy between 0.68 and 0.13
- 21 • Detectability varied from 0.74 to 0.20, and was affected by habitat
- 22 • Bioacoustics can be used to provide improved data over traditional survey methods

23 ABSTRACT

24 Effective monitoring of rare and declining species is critical to enable their conservation, but can often be
25 difficult due to detectability or survey constraints. However, developments in acoustic recorders are
26 enabling an important new approach for improved monitoring that is especially applicable for long-term
27 studies, and for use in difficult environments or with cryptic species.

28 Bioacoustic data may be effectively analysed within an occupancy modelling framework, as
29 presence/absence can be determined, and repeated survey events can be accommodated. Hence, both
30 occupancy and detectability estimates can be produced from large, coherent datasets. However, the most
31 effective methods for the practical detection and identification of call data are still far from established.
32 We assessed a novel combination of automated clustering and manual verification to detect and identify
33 heathland bird vocalizations, covering a period of six days at 44 sampling locations

34 Occupancy (Ψ) and detectability (p) were modelled for each species, and the best fit models provided
35 values of: nightjar $\Psi=0.684$, $p=0.740$, Dartford warbler $\Psi=0.449$ $p=0.196$ and woodlark $\Psi=0.13$ $p=0.996$.
36 Including environmental covariates within the occupancy models indicated that tree, wetland and heather
37 cover were important variables, particularly influencing detectability.

38 The protocol used here allowed robust and consistent survey data to be gathered, with limited fieldwork
39 resourcing, allowing population estimates to be generated for the target bird species. The combination of

40 bioacoustics and occupancy modelling can provide a valuable new monitoring approach, allowing
41 population trends to be identified, and the effects of environmental change and site management to be
42 assessed.

43 **KEYWORDS**

44 Acoustic ecology, autonomous recorder, bird survey, heathland, occupancy model.

45

46 **1. INTRODUCTION**

47 **1.1 Bioacoustics for Biodiversity Monitoring**

48 Biodiversity monitoring is central to nature conservation, allowing species status to be evaluated or
49 assessments to be made of biological responses to environmental changes (Pereira & Cooper, 2006).
50 Long-term monitoring of designated nature conservation sites is particularly needed to identify population
51 trends and inform management planning efforts, especially in the context of factors such as climate
52 change and habitat loss/severance (Noss, 1990; Furnas & Callas, 2015). However, existing monitoring
53 practices and protocols are often sub-optimal, especially in terms of unbiased spatial coverage, sampling
54 effort optimization, the statistical use of the data, and the lack of repeated sampling (Schmeller et al.,
55 2012).

56 We assessed the potential to improve the existing monitoring methods currently used on sites that are
57 internationally important for their breeding bird populations. The most common methods for monitoring
58 of bird numbers and distributions are transect or point count surveys by human observers. These have
59 recognised disadvantages, such as observer bias, the availability of skilled/experienced surveyors
60 (Brandes, 2008; Celis-Murillo et al., 2009; Rempel et al., 2005; Sedláček et al., 2015), and the infrequent
61 and short-term nature of survey visits (Shonfield & Bayne, 2017; Zwart et al., 2014). In response to these

62 issues, passive acoustic monitoring is increasingly being used as an alternative monitoring technique. This
63 method uses automated recording units, which can be deployed in the field for days or weeks at a time to
64 capture animal sounds. The advantages of this approach include the production of a standardised, long-
65 duration, permanent dataset and record of species identification, which can be repeatedly analysed and
66 subject to validation by independent reviewers (Abrahams & Denny, 2018; Celis-Murillo et al., 2009;
67 Rempel et al., 2005). Automated recorders can be synchronized to occur simultaneously across large
68 spatial extents, reducing temporal variability in studies (Brandes, 2008; Furnas & Callas, 2015;
69 MacKenzie & Nichols, 2004), and offering large data volumes at low cost and with little resourcing
70 requirement (Acevedo & Villanueva-Rivera, 2006; Hill et al., 2018; Holmes et al., 2014; Zwart et al.,
71 2014). Due to potential benefits such as these, the use of automated recorders has increased significantly
72 over the last ten years (Shonfield & Bayne, 2017), and some researchers have advocated the use of
73 automated recorders instead of expert personnel for conducting surveys (Darras et al., 2018; Rempel et
74 al., 2005; Brandes, 2008; Zwart et al., 2014).

75 There are potential barriers to the widespread uptake of passive acoustic monitoring for bird surveys.
76 These include the need for specific expertise and the increased time required for post-processing
77 compared to some traditional surveys (Banner et al., 2018; Knight et al., 2017), together with the costs of
78 equipment (Beason et al., 2018; Farina et al., 2014; Hill et al., 2018). However, open source or low-cost
79 recording devices are being produced and post-processing methods are constantly improving – although
80 automated species identification, including machine-learning approaches, is still in development
81 (Acevedo et al., 2009; Salamon et al., 2016). For fieldwork, a practical disadvantage is the fact that
82 acoustic monitoring does not allow the collection of visual clues which can sometimes be vital for the
83 identification of cryptic/quiet species, or for assessing abundance (Klingbeil & Willig, 2015; Sedláček et
84 al., 2015). In some cases, the use of audio recording units has resulted in detection of fewer species and
85 detection at shorter distances than human observers (Holmes et al., 2014; Yip et al., 2017), but the
86 potential for longer term data capture with recording units means that this constraint can normally be

87 addressed by longer deployment times (Darras et al., 2018; Sedláček et al., 2015; Shonfield & Bayne,
88 2017; Zwart et al., 2014). However, microphone performance and maintenance needs to be considered as
89 part of the planning of fieldwork campaigns (Turgeon et al., 2017; Yip et al., 2017).

90 **1.2 Occupancy Models**

91 Alongside the technological advances in bioacoustics, there has been a dramatic recent increase in the
92 development and application of occupancy models that explicitly incorporate species detectability (Furnas
93 & McGrann, 2018; MacKenzie & Nichols, 2004; MacKenzie et al., 2002; MacKenzie et al., 2006). The
94 presence/absence of a species in a sample can be used to calculate occupancy (Ψ) - the proportion of an
95 area, or number of sites, occupied by a species. The frequency with which a species is repeatedly recorded
96 at each sampling site can also be used to assess detectability (p), to allow for the estimation of, and
97 correction for, imperfect detection (Banner et al., 2018; MacKenzie et al., 2002; MacKenzie et al., 2006).
98 The ability to factor these two parameters into assessments allows improved estimates of populations and
99 greater understanding of ecological patterns such as species/habitat relationships (MacKenzie et al.,
100 2006).

101 Despite the clear potential and utility of combining bioacoustic techniques and occupancy models, only a
102 few studies have united these methodological developments to model the population status of a range of
103 vocal species (Yates & Muzika 2006; Furnas & Callas 2015; Kalan et al. 2015; Campos-Cerqueira &
104 Aide 2016; Stiffler et al. 2018; Wood et al., 2019). This study, therefore, provides an important additional
105 case-study in new geographical, habitat and spatiotemporal contexts. Furthermore, it also addresses one of
106 the most critical questions in this area of study - how to most effectively extract useful information from
107 acoustic recorders to feed into the occupancy models and allow population estimates to be generated.

108 Although fine-grained data can be gained from acoustic recorders, a significant benefit of the occupancy
109 modelling approach in field studies is that it relies only on presence/absence data, rather than metrics of
110 abundance such as counts of individuals (MacKenzie et al., 2006). This is normally much easier to

111 determine, requiring less interpretation in the field/lab, and counteracting the potential for inter-observer
112 or inter-survey error (MacKenzie et al., 2006). Although some information is perhaps lost by this
113 approach, data accuracy may be gained as, for rare species, it can be very difficult to correctly estimate
114 abundance during surveys, whereas estimation of occupancy may still be possible with a high level of
115 confidence (Campos-Cerqueira & Aide, 2016; Mackenzie & Royle, 2005). Finally, occupancy and
116 abundance will be linked in most populations, and at small spatial scales and with territorial species,
117 occupancy may be regarded as equivalent to population size and can be used for investigating population
118 dynamics or spatial variation (MacKenzie et al., 2006; Royle & Nichols, 2003; Furnas & Callas, 2015;
119 Campos-Cerqueira & Aide, 2016; Wood et al., 2019).

120 **1.3 Heathland Bird Monitoring**

121 Our study was conducted on European nightjar *Caprimulgus europaeus*, woodlark *Lullula arborea* and
122 Dartford warbler *Sylvia undata*. These three birds are specialists of lowland heathland habitats, and are
123 rare and declining species considered to be of international conservation importance (Clark & Eyre,
124 2012). Despite significant legal and policy protection, however, their breeding site habitats are threatened
125 by air pollution, urban development, inappropriate management and recreational disturbance (Fagúndez,
126 2013; Mallord et al., 2007).

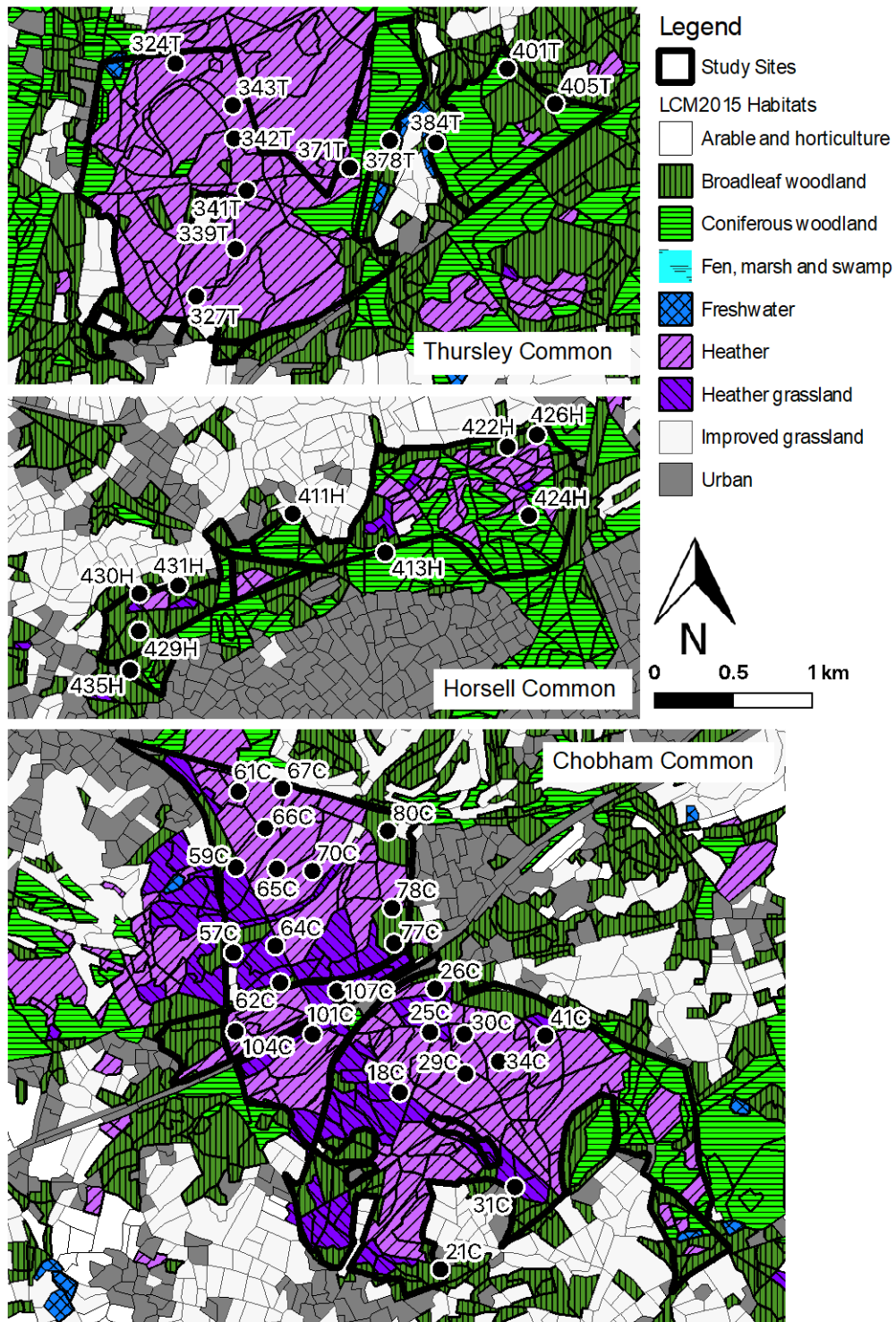
127 Monitoring a variety of bird species, with differing behaviours, over extensive heathland sites, presents
128 significant challenges for conservation managers. In particular, a number of different surveyors are
129 inevitably involved in the surveys used for monitoring the target species. Inter-observer differences are
130 therefore likely to produce variations in data, particularly with nocturnal nightjar surveys, where it is hard
131 to differentiate individuals and accurately map territories (Liley & Fearnley, 2014). Automated recorders,
132 used by themselves or in conjunction with existing methods, have great potential to reduce bias and
133 variability in survey results and account for the effects of detectability between sites and surveys, to
134 produce more reliable and consistent population estimates.

135 Our goal in this study is to establish effective methods for combining bioacoustic techniques and
136 occupancy models in the monitoring of rare breeding bird populations. We capture an acoustic dataset and
137 demonstrate how to efficiently process recordings to detect and identify species vocalizations within this,
138 using a novel clustering technique. We then analyse the acoustic data to estimate occupancy and
139 detectability for the three target species, using single-species, single-season occupancy models, and
140 combine this with environmental covariates, to determine the effects of habitat on model outputs. This
141 provides useful occupancy and detectability estimates for the target species, highlighting the potential for
142 bioacoustic methods to be used as an alternative or complement to current monitoring practices, with
143 benefits in terms of consistent, verifiable and permanent field data.

144 **2. MATERIALS AND METHODS**

145 **2.1 Study Area**

146 We conducted the study on parts of the Thames Basin Heaths SPA and the Wealden Heaths SPA. These
147 are two large, internationally important, nature conservation sites in southern England, made up of 18
148 heathland sites of varying size and character. These sites comprise a mix of dry and wet heath vegetation,
149 with mire, bog, waterbodies, permanent grassland, scrub and blocks of woodland (Figure 1). Together,
150 they cover a total of 12,199 ha, of which 5,702 ha is classified as lowland heath (Clark & Eyre, 2012).
151 Within this overall context, we gathered data at three heathland sites to which access could be readily
152 gained: Chobham Common, Horsell Common and Thursley Common, which together cover an area of
153 992 ha.



154
155

Figure 1. Land Cover Map 2015 habitat data and acoustic sampling site locations.

156

157 2.2 Acoustic Monitoring

158 We used Wildlife Acoustics SongMeter SM2 recorders, equipped with a single mono omnidirectional
159 microphone to record audio data (see Supplementary Information: Appendix 1). These automated
160 recording units were programmed to record a 1 minute audio sample every ten minutes (i.e. one minute
161 on, nine minutes off), from two hours before sunrise, until three hours after, and then from one hour
162 before sunset until two hours after. Daily sampling therefore took place within a 5 hour period at dawn,
163 and 3 hours at dusk. The units were deployed at a single sample site for a period of six days during May-
164 June 2018, so that each site had 288 minutes of recording. The audio samples were all recorded as .wav
165 files onto an SD card, at 48kHz sampling rate and 16-bit depth (Abrahams, 2018). All microphones were
166 calibrated to ensure comparable sensitivity and performance before deployment (Turgeon et al., 2017;
167 Yip et al. 2017).

168 Sample locations were defined across the study area by using GIS to place a regular 250 m point grid
169 across the three heathland sites. It was considered that this would be a sufficient distance for recordings to
170 be independent of each other, and relevant to the territory sizes of the species being studied. From the 166
171 possible grid points, 48 were randomly selected, stratified to the relative area of each heathland site, to
172 provide 9 sampling sites at Horsell Common, 15 at Thursley Common, and 24 at Chobham Common. As
173 16 recorders were available for the study, the 48 sampling sites were divided into three sessions of field
174 recording: 26-31 May, 5-10 June, 16-21 June. The sites were randomly assigned to one of the three
175 survey sessions, so that 3 sites at Horsell Common, 5 at Thursley Common, and 8 at Chobham Common
176 would be sampled at each session. Despite differences in date, all site samples were treated equally as
177 individual samples within a single season. A closure assumption was therefore made that bird
178 distribution, population size and density did not change over the course of the three survey sessions.

179 All sites were given an identification code consisting of a number and site suffix of H, T or C (Figure 1).
180 Field placements matched the GIS locations as closely as features on the ground would allow. During the
181 deployments, one recorder failed to record evening sessions repeatedly (at three sampling sites), and

182 another suffered battery failure on one occasion. These failures were all at Thursley Common (sites 315T,
183 319T, 332T, 391T) and the sites were removed from the dataset, leaving 44 sampling locations.

184 **2.3 Audio Data**

185 The audio recordings taken from the field were analysed using a semi-automated system to identify target
186 species vocalizations (termed ‘phrases’) in the recordings. Kaleidoscope Pro 4.3.2 software (Wildlife
187 Acoustics, 2017) was first employed, using its cluster analysis method with default settings
188 (<https://www.wildlifeacoustics.com/images/documentation/Kaleidoscope-Pro-5-User-Guide.pdf>). This
189 process analysed the time and frequency characteristics of the recorded audio files, using Hidden Markov
190 Models, to search for sounds within a 1500-7000Hz frequency band and of 2-20 seconds duration, with a
191 maximum inter-syllable gap of 1 second - creating each as an individual new .wav file. The analysis
192 process grouped similar phrases in the recordings (e.g. the song of a particular bird species) into clusters
193 based on their sound characteristics. After the automated clustering was complete, the phrases detected by
194 the software were manually reviewed by listening to playback and by the visual inspection of
195 spectrograms to classify the presence/absence of the target species in each phrase.

196 **2.4 Environmental Data**

197 In order to investigate the influence of habitat on occupancy and detectability at each of the study sites,
198 we obtained data from a combination of satellite and terrestrial mapping sources. The proportion of
199 Broadleaf trees, Coniferous trees, Heather and Heather grassland within 100m of each sample site was
200 calculated from Land Cover Map 2015 (LCM2015) vector data, accessed from the Centre for Ecology
201 and Hydrology (Rowland et al., 2017). Distance to the nearest road was calculated based on Ordnance
202 Survey OpenMap-Local vector data (OS data © Crown copyright and database right 2018). We also used
203 pre-processed satellite data from Copernicus Pan-European High Resolution Layers (HRL;
204 <https://land.copernicus.eu/pan-european/high-resolution-layers>) representing Tree Cover Density (TCD),
205 Water and Wetness (WAW) and Imperviousness (IMD) at a 20m resolution. The Tree Cover Density

206 (forest) HRL provides the level of tree cover in a range from 0-100% for each pixel.. The Water and
207 Wetness HRL shows the occurrence of water and wet surfaces over the period from 2009 to 2015, on a
208 scale from (1) permanent water, to (4) temporary wetness. The Imperviousness degree IMD captures the
209 spatial distribution of artificially sealed (i.e. urbanized/road) areas. We used Zonal Statistics to summarise
210 these measures for each sampling site, to produce the sum of all pixel values within a 100m radius of the
211 site. All spatial analyses were performed in QGIS (QGIS Development Team, 2018). Weather was
212 represented in our environmental variables by ‘derived 24hr sun duration’ from the weather station at
213 Wisley, Surrey (Ref. src_id 719/DCNN 5237, WGS84 51.3108, -0.47634), accessed from BADC
214 (badc.nerc.ac.uk). Other weather variables were unavailable from this source as records for the survey
215 period were sparse.

216 **2.5 Occupancy Models**

217 The occupancy of each of the three target species was modelled separately using a single-species, single-
218 season modeling approach with observation and habitat covariates (Furnas & Callas, 2015; MacKenzie et
219 al., 2002; MacKenzie et al., 2006; Stiffler et al., 2018), using established protocols with the ‘Unmarked’
220 package in R (Fiske & Chandler, 2011; R Core Team, 2013; RStudio Team, 2015). The acoustic data was
221 summarised to day-level temporal resolution of presence/absence, to produce a detection history at each
222 sampling site comprising six replicate surveys. The naive occupancy for each species was checked and
223 confirmed to be >0.1 , so that detection histories were not too sparse to fit single-species models. We first
224 created null models, without covariates, to represent equal probability of detection and/or occupancy
225 across all survey sites and days. We then developed models including covariates representing the areas of
226 different habitat types within 100m of the sampling location (from LCM2015 and Copernicus data), and
227 distance to the nearest road (as shown in Table 2). We anticipated that detection probability might change
228 over the course of the survey period (Campos-Cerqueira & Aide, 2016; Furnas & McGrann, 2018) due to
229 seasonal and weather reasons, and used Julian day of survey and 24-hour sun duration to represent this
230 information. All variables were scaled and centered around zero prior to analysis. The broadleaf and

231 coniferous covariates were excluded as these duplicated the TCDsum habitat type, and the LCM2015 data
232 were more zero-inflated than the Copernicus data. IMDsum was also rejected as the data were very
233 sparse. Covariates were applied first to the detection parameter, before the occupancy parameter. Each
234 model was inspected to check estimates, standard errors and convergence. All models tested are listed in
235 Table 2.

236 We assessed model fit using Akaike's Information Criterion (AIC), ranking and comparing models based
237 on AIC relative differences between the top ranked model and each other model (ΔAIC) and AIC
238 weights. We considered models with $\Delta AIC < 2$ to be equally supported (Burnham & Anderson, 2002) and
239 combined these by applying model averaging using the MuMIn package in R (Barton, 2018), to estimate
240 occupancy and detection for each species. Initially, models without occupancy covariates were fitted to
241 select the most appropriate covariates for detection. These covariates were then retained for all candidate
242 models when occupancy covariates were added. The models generated for each species were used to
243 assess occupancy levels at the study sites, define potential habitat areas and calculate provisional
244 population estimates.

245 **3. RESULTS**

246 **3.1 Clustered Audio Segments**

247 Kaleidoscope clustering of the complete audio dataset detected 28,775 phrases as individual .wav files, an
248 average of 109 phrases per site/day. Each phrase included bird vocalizations and other sounds. With a
249 mean duration of 6 seconds (range 2-20.9 sec), the clustered phrases comprised 48 hours of audio - 23%
250 of the total recorded dataset. The phrases were grouped into 55 clusters by the software.

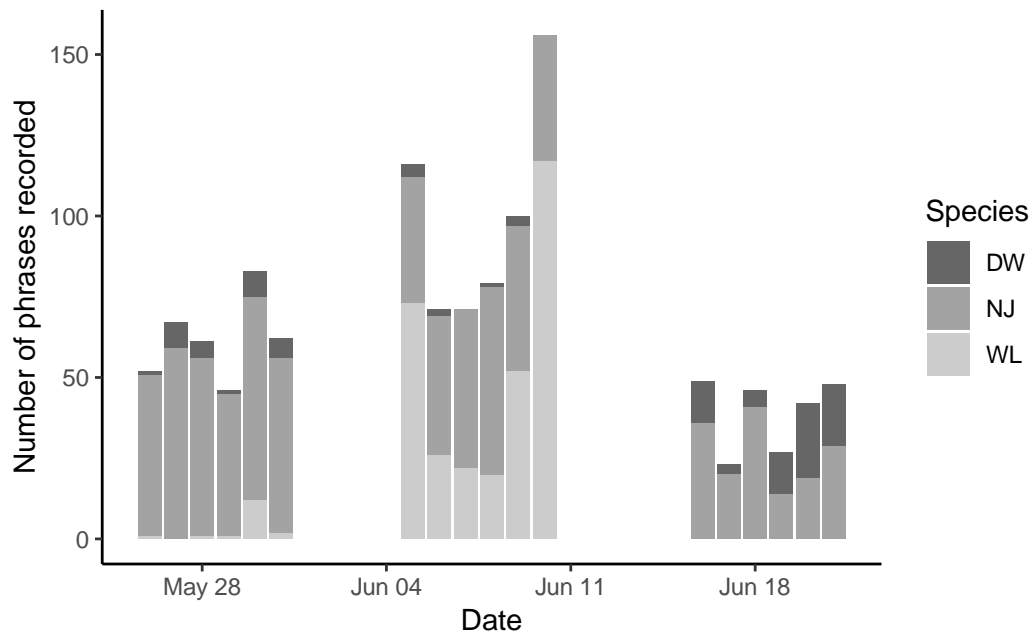
251 Manual review of all the clustered phrases identified the three target species in the dataset, with 757
252 phrases across 30 sites having vocalizations of nightjar, 327 of woodlark at 7 sites, and 115 of Dartford
253 warbler at 14 sites. This gave a total of 1,199 phrases recorded for the three target species. Nightjar and

254 Dartford warbler were recorded at all three SPA sites, but woodlark was only recorded at Chobham and
255 Thursley Commons.

256 3.2 Patterns in Activity

257 The total number of phrases recorded per day across all sampling sites varied from 1,974 on 30 May to
258 1,145 on 17 June. The daily number of phrases was relatively even between recording sessions 1 and 2,
259 but declined for session 3 in mid-June. This pattern was matched somewhat by the daily numbers of target
260 species vocalizations (Figure 2). Nightjar and Dartford warbler vocalizations were recorded throughout
261 all three recording sessions, but woodlark was mostly confined to the early June session only - although
262 this is likely to be related to presence at the sites being sampled at that time, rather than any reason to do
263 with seasonal timing.

264 The most vocally active sites were 61C and 70C (north Chobham) for nightjar, 29C and 25C (south
265 Chobham) for woodlark, and 339T and 343T (central Thursley) for Dartford warbler - see locations at
266 Figure 1. Significant numbers of calls were not recorded for any species at the Horsell Common sites.



267

268 *Figure 2. Number of target species recorded per day across all sampling sites, for Dartford warbler*
269 *(DW), nightjar (NJ), and woodlark (WL).*

270

271 **3.3 Environmental Parameters**

272 The recorders were placed in habitats that varied from open heath to mature forest (Figure 1). Thursley
273 Common can be divided into a western part, dominated by Heather, with the eastern part being
274 Coniferous and Broadleaved woodland. Chobham Common is a mosaic of Heather and Heather
275 grassland, with Coniferous and Broadleaved woodland around its fringes. This site has a much larger
276 cover of WAW than the two other sites. Horsell Common is mostly Coniferous and Broadleaved
277 woodland, with patches of Heather at its eastern end. The means and ranges of the GIS-measured
278 environmental parameters are listed in Table 1.

279

Habitat variable	Mean value	Range	Units
TCDsum	2570	0-6209	Sum of % per pixel
WAWsum	36.8	0-252	Sum of 1-4 index per pixel
Distance to Road (HubDist)	351	29-961	Metres
Heather	14459	0-31318	Sum of pixels
Heather grassland	4204	0-31060	Sum of pixels

280 Table 1. Measured habitat parameters (n=44 sampling sites)

281

282 3.4 Occupancy Modelling

283 Naive occupancy was calculated for each species, based on the presence of the species across all 44
284 sample sites in the study. The naive occupancy values, equal to the proportion of sites with positive
285 detections, were 0.68 for nightjar, 0.32 for Dartford warbler and 0.16 for woodlark.

286 Models incorporating covariates on the detection and occupancy parameters were generated for each
287 species (Table 2). Two models for nightjar had equal support ($\Delta AIC < 2$) and so were averaged to produce
288 covariate estimates. The averaged model included Julian date (JULIAN), Tree Cover Density (TCDsum)
289 and Water and Wetness (WAWsum) as detectability covariates with no covariates acting on occupancy.
290 The best fit model for nightjar (NJmdet3), with an AICwt of 53%, indicates an occupancy of 0.684 (SE
291 0.071) with a detectability of 0.740 (SE 0.035), varying only slightly from the null model ($\Psi=0.682$,
292 $p=0.733$).

293 There were four favoured models for Dartford warbler, including the null model, with TCDsum,
294 WAWsum, and distance to road (HubDist) featuring on the detectability parameter. Heather grassland
295 was the only indicator for occupancy. The averaged model for Dartford warbler used only distance to
296 road as a detectability covariate, with no covariates acting on occupancy. The best-fit model for Dartford
297 warbler (DWmdet5), with an AICwt of 36%, indicates an occupancy of 0.449 (SE 0.107), with a
298 detectability of 0.196 (SE 0.053), an increase from the null model occupancy of 0.382 (SE 0.091), but
299 decrease in detectability from 0.258 (SE 0.057).

300 Woodlark had two favoured models, sharing Julian date, WAWsum, distance to road, Heather and
301 Heather grassland as detectability covariates, and WAWsum, Heather and Heather grassland for
302 occupancy covariates. The averaged model for woodlark had five significant covariates, and again, these
303 were all on the detection parameter. Julian date, WAWsum and Heather were all positively related to
304 detectability, while distance to road and Heather grassland were negative indicators. For woodlark, the
305 best-fit model (Wlmocc2), with an AICwt of 59%, indicated an occupancy of 0.13 (SE 0.117), lower

306 than the null model figure of 0.162 (SE 0.056), and a detectability of 0.996 (SE 0.012), which varied
 307 substantially from the null model detectability of 0.491 (SE 0.081).

Model	Formula	AIC	ΔAIC	AICwt
Nightjar				
NJmdet3	~JULIAN + TCDsum + WAWsum ~ 1	259.62	0.00	0.528
NJmocc3	~JULIAN + TCDsum + WAWsum ~ TCDsum	260.64	1.02	0.317
NJmocc2	~JULIAN + TCDsum + WAWsum ~ TCDsum + HubDist	262.33	2.70	0.136
NJmocc1	~JULIAN + TCDsum + WAWsum ~ TCDsum + WAWsum + HubDist + Heather + HeatherGrass	267.64	8.02	0.010
NJm0	~1 ~ 1	267.79	8.17	0.009
Dartford Warbler				
DWmdet5	~TCDsum + HubDist ~ 1	157.11	0.00	0.364
DWmocc3	~HubDist + TCDsum ~ HeatherGrass	158.19	1.08	0.212
DWmdet4	~TCDsum + WAWsum + HubDist ~ 1	158.40	1.29	0.191
DWm0	~1 ~ 1	159.00	1.89	0.142
DWmocc2	~HubDist + TCDsum ~ WAWsum + HeatherGrass	160.06	2.95	0.083
DWmocc1	~HubDist + TCDsum ~ TCDsum + WAWsum + HubDist + Heather + HeatherGrass	164.89	7.79	0.007
Woodlark				
WLmocc2	~JULIAN + WAWsum + HubDist + Heather + HeatherGrass ~ WAWsum + Heather + HeatherGrass	69.31	0.00	0.593
WLmocc3	~JULIAN + WAWsum + HubDist + Heather + HeatherGrass ~ WAWsum + HeatherGrass	70.75	1.44	0.288
WLmocc1	~JULIAN + WAWsum + HubDist + Heather + HeatherGrass ~ TCDsum + WAWsum + HubDist + Heather + HeatherGrass	73.10	3.79	0.089
WLmdet3	~JULIAN + WAWsum + HubDist + Heather + HeatherGrass ~ 1	75.29	5.98	0.030
WLm0	~1 ~ 1	100.55	31.24	0.000

308 Table 2 Model selection list for all species - with detectability and occupancy covariates

309

310 Predicted occupancy varied little between sampling sites for nightjar and Dartford warbler (Figure 3), as

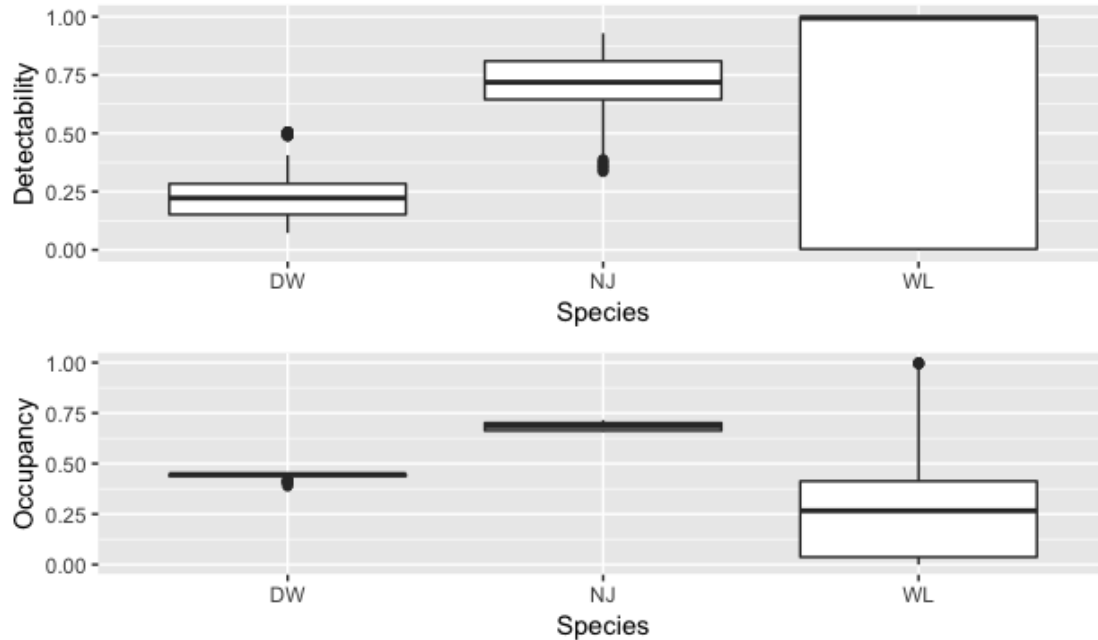
311 only single covariates were acting on these species - TCDsum and Heather grassland respectively.

312 Woodlark occupancy predictions varied more widely due to the number of habitat covariates acting on the

313 models for this species - including WAWsum, Heather and Heather grassland. Detectability predictions

314 were sensible for nightjar and Dartford warbler, but highly polarised to 0-1 in the models for woodlark,

315 due to the small number of positive sampling sites.



316

317 *Figure 3. Model-averaged predicted occupancy and detectability across all sampling sites, for Dartford*
 318 *warbler (DW), nightjar (NJ), and woodlark (WL).*

319

320 Our results can be used to provide a baseline for assessing the population of the three heathland bird
 321 species studied. We assumed that occupancy is a good surrogate for abundance (MacKenzie & Nichols,
 322 2004) and that we could quantify the relative abundances of the bird species, based on the proportion of
 323 sampling sites in which they were recorded to be present. Given the separation distances between recorder
 324 locations in this study, it is considered reasonable to assume that each occupied sampling site represented
 325 a separate territory/pair. Using the occupancy estimates from the null models for the three species we can
 326 calculate that the areas of occupied habitat for each species, from a total 992 ha, are: nightjar 676 ha,
 327 Dartford warbler 379 ha, woodlark 161 ha (Table 3). Combining these habitat areas with published
 328 breeding densities of 0.074-0.078 males/ha for nightjar (Berry, 1979; Conway et al., 2007), 0.32-0.42
 329 pairs/ha for Dartford warbler (Bibby & Tubbs, 1975), and 0.05 pairs/ha for woodlark (Langston et al.,
 330 2007; Sitters et al., 1996), gives estimated population levels of: nightjar 51 males, Dartford warbler 140
 331 pairs, and woodlark 8 pairs (Table 3).

Species	Occupancy (SE)	Occupied habitat (90% CI)	Density ha ⁻¹	Pairs (90% CI)
Nightjar	0.682 (0.0702)	676 ha (562-791)	0.075	51 (42-59)
Dartford warbler	0.382 (0.0914)	379 ha (230-528)	0.37	140 (85-195)
Woodlark	0.162 (0.0562)	161ha (69-252)	0.05	8 (3-13)

332 Table 3. Calculated areas of occupied habitat, based on intercept-only occupancy estimates

333

334 4. DISCUSSION

335 4.1 Bioacoustic Approach

336 To our knowledge, this is the first study in Europe to combine bioacoustic survey with occupancy
337 modelling. It is also the first in the UK to undertake a large scale survey for multiple bird species using
338 automated recorders. It therefore expands the geographic scope of case studies for these methods, and
339 applies them in a new habitat, beyond the American forested ecosystems in which most previous studies
340 have been located (Furnas & Callas, 2015; Campos-Cerqueira & Aide, 2016; Furnas & McGrann, 2018;
341 Wood et al., 2019).

342 We used species detection data from six repeated days of recording at 44 sampling sites, combining this
343 with environmental covariates to estimate occupancy and detectability for three bird species. Our results
344 show that the bioacoustic approach can be used effectively for the survey and monitoring of heathland
345 bird populations. Although we included models where habitat covariates could influence occupancy in
346 our candidate sets, the ‘best’ models for each species suggested that the habitat variables were not
347 important indicators of occupancy at the scale studied. This is possibly due to the fact that the study areas
348 were all lowland heathland sites, generally suitable for the study species, and so the distribution of
349 individuals was likely to relate to micro-habitat features that were not detectable at the scale of the field

350 survey, satellite and map data applied. The satellite data used was at 20m pixel size, but the average size
351 of the LCM polygons was 2.4 ha, equivalent to 87 m radius. Although the covariate data was sampled at a
352 similar scale (100 m radius) to previous studies (Furnas & Callas, 2015; Campos-Cerqueira & Aide,
353 2016), these were landscape-scale surveys less dependent on small habitat features to differentiate plots.
354 Thus, we would agree with the finding of Niedballa et al. (2015), that both the spatial scale of habitat
355 covariate data, and the radius sampled around survey sites, can affect the fit of occupancy models. Higher
356 resolution data is needed for a site-based scale of assessment, if habitat covariates are to be included in
357 analyses. For future studies, this should be gained from either field survey or high-resolution
358 aerial/satellite imagery, such as the 5m resolution RapidEye imagery used by Niedballa et al (2015).

359

360 Identification of species vocalizations is commonly done either by complete manual analysis or,
361 increasingly, by the use of automated recognizers, which require the *a priori* compilation and analysis of
362 a large library of known species vocalizations (Knight et al., 2017; Shonfield & Bayne, 2017). Our
363 analysis workflow included automated clustering of the acoustic data set, followed by manual validation
364 of candidate vocalizations of the target species (Abrahams & Denny, 2018). This process has two
365 benefits. Firstly, the automated clustering identified signals, that may be target bird species, but filtered
366 out noise. In the current study, this allowed 77% of the total acoustic dataset to be filtered out, before
367 identifications were attempted, significantly reducing the later workload in manually reviewing data for
368 target species vocalizations. The second benefit of the analysis approach taken here, was that the manual
369 validation step helped to minimize false-positive detections (Campos-Cerqueira & Aide, 2016;), which
370 are often a significant issue with automated species identification systems (Zwart et al., 2014; Salamon et
371 al., 2016). Misclassification errors such as this violate a major assumption of most occupancy models, and
372 can lead to substantial errors in occupancy estimates (MacKenzie et al., 2006; Banner et al., 2018). The
373 issue can potentially be addressed by complete manual identification of all recordings, but this is highly
374 time-consuming, while the hybrid automated/manual approach taken here reduced the workload in the

375 manual review stage to less than a quarter of what it would have been. The corollary is that the data
376 rejected by the automated clustering may contain target species vocalizations, and hence false-negatives
377 may result. However, with the summation of the detailed call data down to daily presence/absence at each
378 site, the potential loss of some target species phrases is considered unlikely to significantly affect the
379 occupancy and detectability estimates derived from the modelling (Shonfield et al., 2018). The combined
380 use of automated clustering and manual verification is therefore recommended as a valid approach for
381 identification in bioacoustic studies.

382 **4.2 Spatial Sampling Design**

383 In bioacoustic studies with static sampling locations, the layout of recorder placements is of high
384 importance. For occupancy modelling especially, the distance between sampling sites should be relevant
385 to the territory size of the taxa being recorded (Niedballa et al., 2015), while also ensuring that the
386 detection process is independent at each site by preventing overlap between the recording radius around
387 each recorder. While this distance is variable, for many bird species the effective recording radius of most
388 detectors is in the region of 50 m - although this is dependent on microphone model, variability and
389 condition (Furnas & Callas, 2015; Turgeon et al., 2017; Yip et al., 2017). Within our study, the closest
390 spacing between sampling sites was set by the ~250 m sampling grid. The mean nearest neighbour
391 distances of the recorder sites were 316 m for Chobham, 346 m for Horsell, and 329 m for Thursley
392 (range 202-703). Due to the sampling sites being spread across three survey sessions, the mean nearest
393 neighbour distances between recorders in each session were 608 m, 466m and 508m.

394 For nightjar, a threshold of 350 m distance between registrations has been proposed to differentiate
395 between male territories (Conway et al., 2007), while Stiffler et al (2018) applied a minimum spacing of
396 400 m for recording wetland birds. The spacing of the recorders within the current study related well to
397 these studies, and as a result, there can be a reasonable confidence that there was no double-counting for
398 the bird species being studied. A 250 m sampling grid, as set out in the draft protocol of Abrahams (2018)
399 is therefore considered to be appropriate for future studies, although additional refinement of detector

400 placement may be warranted to maximise coverage of sites, dependent on the vocal and territorial
401 characteristics of the species being studied. For example, recent research has indicated that, for a desired
402 threshold of detection efficiency, careful selection of optimised placements based on topography,
403 vegetation and weather patterns, may be most efficient (Piña-Covarrubias et al., 2018).

404 **4.3 Temporal Sampling Design**

405 In any occupancy study, the balance between the number of sites and number of sampling events
406 differentially affects the accuracy and precision of the occupancy and detectability estimates. We
407 recorded for six days at 44 sites, which we considered likely to balance fieldwork resourcing with
408 sufficient sample site density. This was a longer deployment time than the two-three days used by Furnas
409 & Callas (2015) and Stiffler et al. (2018), and equivalent to that employed by Campos-Cerqueira & Aide
410 (2016) and Wood et al. (2019). For rare species with a high probability of detection (i.e. woodlark for this
411 study) the required survey effort should maximize the number of sites covered, while for common species
412 with low detection (i.e. Dartford warbler) the most efficient sampling approach is to increase the number
413 of survey occasions (Mackenzie & Royle, 2005). With the low occupancy for woodlark found here, it is
414 likely that an increased number of sampling sites (and lower number of survey days if necessary) would
415 be likely to improve the modelling results (Mackenzie & Royle, 2005; Banner et al., 2018). This modified
416 sampling approach would, however, have to be considered in terms of its costs/benefits, taking into
417 account the potential effects on Dartford warbler modelling and increased fieldwork time or equipment
418 requirements.

419 **4.4 Detectability**

420 Using the null models, without covariates, we estimated detectability as 0.73 for nightjar, 0.49 for
421 woodlark and 0.26 for Dartford warbler. The national Breeding Bird Survey (BBS) (Johnston et al., 2014)
422 found a much lower detectability of 0.30 for nightjar, which is perhaps unsurprising, due to the
423 difficulties with surveying this species within a standard (mostly daytime) survey method. However, the

424 BBS detectability estimates of 0.47 for woodlark and 0.37 for Dartford warbler are similar to those found
425 in this bioacoustic study. In this comparison, nightjar is much better detected by acoustic recorders (as
426 found by Zwart et al., 2014), but Dartford warbler less so, while detectability for woodlark is matched.

427 Taking detectability into account during traditional bird surveys requires repeated visits across the season.
428 The time often occurring between site visits may then invalidate the assumption that detection probability
429 remains constant across the survey events. The protocol used in this study enabled six days of back-to-
430 back recording, simultaneously at 16 sites, minimising the risk that detection probability would change
431 between sampling events. This would have been difficult to achieve without the use of automated
432 recorders. The greater number of survey replicates achievable with the bioacoustics approach is therefore
433 able to improve occupancy and detection estimates (MacKenzie et al., 2006; Stiffler et al., 2018).

434 We found that survey date, combined with habitat characteristics, explained detectability and improved
435 the performance for some of the species models generated here, similar to the finding of Furnas & Callas
436 (2015). Wetland (WAWsum) was a positive parameter on detectability for all three species, and woodland
437 (TCDsum) was also positive for nightjar, as was Heather for woodlark. The probability of detecting a
438 species during a bioacoustic survey is a function of both the probability of it vocalizing and the recorder
439 detecting the call. The vocalization rates of many birds vary due to age, sex, breeding status, time of day,
440 and seasonal variation (Campos-Cerqueira & Aide, 2016; Furnas & McGrann, 2018). As a consequence,
441 both survey timing and the number of visits need to accommodate species vocalizing behavior to ensure
442 accurate detection, particularly for species with sporadic vocalization patterns (La & Nudds, 2016). Age
443 and sex-specific variation in vocalization rates cannot be accounted for easily when using automated
444 recorders, but our methods allowed for the other variation factors, as we sampled over a relatively short
445 period of time during the breeding season, and sampled over a wide timeframe every day, thereby
446 minimising the potential for seasonal and diurnal variation in call rates. Our results, together with those of
447 Johnston et al. (2014), showing how detection probability varies by species, should be considered in

448 decisions about study design when planning to survey birds using automated recorders or traditional
449 methods.

450 **4.5 Occupancy**

451 We calculated occupancy as 0.682 for nightjar, 0.382 for Dartford warbler and 0.162 for woodlark,
452 showing that nightjar is widespread across the study sites, while woodlark has a much more restricted
453 distribution. This is in line with other survey data for the sites, collected by traditional survey methods
454 (J.Eyre & J.Clark; D. Boyd pers. comms.), and previous occupancy studies (Furnas & Callas, 2015;
455 Campos-Cerqueira & Aide, 2016; Wood et al. 2019). Although the occupancy figures provide a
456 population estimate in themselves, they could potentially be used to generate an estimate of the number of
457 pairs, as the common measure for population size. We did this provisionally, using a combination of
458 habitat area and previously recorded breeding densities to give the following numbers: Dartford warbler
459 140, nightjar 51 and woodlark 8.

460 The occupancy modelling indicated a positive relationship between nightjar and TCDsum. This
461 corresponds to associations with woodland found in previous studies (Bright et al., 2007; Conway, 2010).
462 The negative relationship between Dartford warbler and Heather Grassland was surprising, as this species
463 is generally associated with dry-humid heath, and gorse, sometimes with a grassy component (Bibby &
464 Tubbs, 1975). Woodlark occupancy was positively related to Heather Grassland, and negatively to
465 WAWsum and Heather. These results are more expected, as nest sites for this species are generally found
466 in tall/dense heather or grass (Mallord et al., 2007), while foraging sites have short grass and bare ground
467 (Conway et al., 2009).

468

469 **5. CONCLUSION**

470 Our study demonstrates the suitability of the bioacoustics approach to identify the distributions and assess
471 the populations of target bird species on heathland study areas. Occupancy and detectability estimates
472 were produced, taking into account imperfect detection. If carried out on a regular basis, this method
473 could provide a valuable new approach for monitoring of population levels and favourable conservation
474 status. For future studies in this setting, and with these species, methods might be improved by increasing
475 the number of sample sites at which recording takes place. This approach would be likely to improve the
476 modelling for woodlark, but would need to be balanced against potential effects on models for the other
477 two species studied.

478 The field of conservation biology is continuously adopting improved, cheaper and more easily available
479 technologies. In the near future, automated interpretation of recordings using machine learning methods
480 will become increasingly viable, allowing effective identification of a range of bird species (Brandes,
481 2008; Acevedo & Villanueva-Rivera, 2009; Knight et al., 2017; Shonfield & Bayne, 2017, Stowell et al.,
482 2019). The permanent nature of bioacoustic recordings will allow these ongoing developments in call
483 analysis and automated identification to be used to re-analyse previously collected data, perhaps alongside
484 new recordings (Shonfield & Bayne, 2017; Stiffler et al., 2018). The use of bioacoustics will, therefore,
485 be indispensable for conducting long-term and potentially continuous monitoring over large spatial scales,
486 aiding understanding of the ongoing effects of threats and management practices on bird populations on
487 heathland and in other environments.

488

489 **AUTHORS' CONTRIBUTIONS**

490 CA conceived the ideas, designed methodology; collected and analysed the data. CA led the writing of the
491 manuscript, with MG contributing to establishment of occupancy modelling methods and development of
492 the text. Both authors contributed critically to the drafts and gave final approval for publication.

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495 **DATA ACCESSIBILITY**

496 Data, metadata and R script will be archived at Zenodo.org (DOI tbc)

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660 **SUPPLEMENTARY INFORMATION: APPENDIX 1**

661 Kaleidoscope 4.3.2 software settings

662 File parameters:

663 • No subdirectories

664 • No split to max duration

665 • Split channels—yes.

666

667 Signal parameters:

668 • Signal of interest 1500–7000 Hz

669

670 • Duration 2–20 s

671 • Maximum inter syllable gap 1 s

672

673 Scan and cluster recordings:

674 • Max distance 1.0

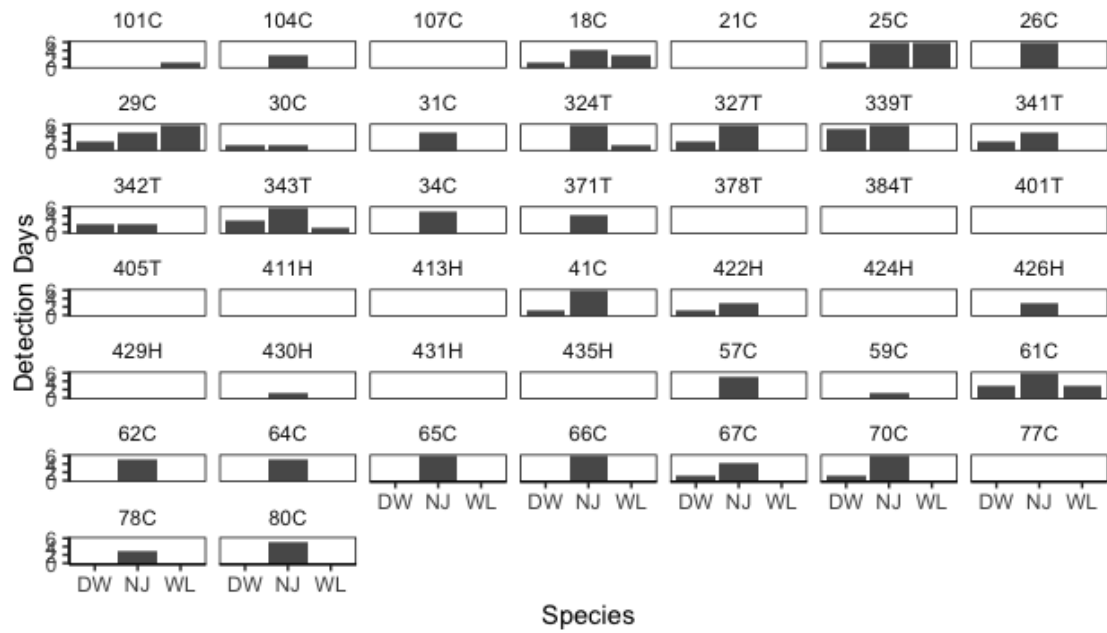
675 • FFT window 5.33 ms

676 • Max states 12

677 • Max distance for building clusters 0.5

678 • Max clusters 500

679



680 Figure 4. Number of detection days for each species at each site.

681

682